REMARKS

Claim 92 has been canceled since, as the Examiner observed, it is a duplicate of claim 91 and was inadvertently included in the prior submission. Claims 98-104 have been canceled without prejudice to their being refiled in a continuing application in view of the Examiner's remarks in paragraph 1 of the above-identified Office Action in which the Examiner concluded that the claims other than claims 98-104 have been constructively elected by their original presentation for prosecution on the merits and, therefore, claims 98-104 are withdrawn from consideration as being directed to a non-elected invention.

Claim 95 is provisionally rejected under the judicially created doctrine of obviousness-type double-patenting as being unpatentable over claims 2-4 of co-pending application No. 10/080,016. Applicants understand the Examiner's position with regard to claim 95. Appropriate action (e.g., a timely-filed terminal disclaimer in compliance with 37 CFR § 1.321(c)) will be taken as required to overcome this rejection at such time as the Examiner indicates that there is allowable subject matter, but for the obvious-type double-patenting rejection.

Claims 22, 23, 25-36, 83-94 and 96-98 are rejected under 35 U.S.C. § 103(a) as being unpatentable over *Robinson et al.*, U.S. 6,071,539 (hereinafter "*Robinson*"). This rejection is respectfully traversed.

In support of the rejection, the Examiner sets forth a series of arguments beginning on page 6, first full paragraph, through page 7, line 6. In summary, the Examiner argues that *Robinson* teaches oral formulations, such as tablets, containing effervescent granules, a binder and a therapeutic agent. In particular, the Examiner argues that *Robinson* discloses at column 4, lines 53-55, that the pH of the environment (e.g., the mouth) can be controlled by controlling the relative ratio of

the acidic and alkaline agents of the effervescent granules. particular, the Examiner states that the ratio of the acidic agent and the alkaline agent can be determined according to the pH required for dissolving an active ingredient included in a Specifically, the Examiner quotes from Robinson formulation. beginning at column 4, line 58, and continuing through column 6, line 4 (sic column 5, line 4):

> solubility of "When the the ingredient increases at the acid side, the pH of the solution is lowered by adding the agent in an amount more equivalent to the alkaline agent. solubility of the active ingredient increases at the basic side, the pH of the solution is raised by adding the alkaline agent in an amount more than equivalent to the acidic agent. In either case, the pH near the acidic agent immediately after the dissolution is low, while the pH near an alkaline agent is high."

The Examiner concludes, "Thus, the alkaline and/or agents of Robinson et al. will not only act effervescent agents, but also as pH adjusting agents." Examiner then recites ranges taught in Robinson as being within the scope of those presently claimed. The Examiner further notes that Robinson teaches that once the tablet is placed in the patient's mouth, it will completely disintegrate.

In paragraph No. 8 of the Office Action, the Examiner notes that while Robinson teaches the claimed range, it does not explicitly teach that the amount of the effervescent couple tablet the amount necessary for be greater than disintegration as required by the instant claims. However, the Examiner further observes that Robinson teaches that the amount

of the effervescent agents, as well as the ratio of acidic agent to alkaline agent, can be selected in order to achieve the rate of effervescence, and further to desired substantially complete disintegration of the tablet "positive organoleptic sensation to a patient." Examiner concludes, the determination of optimal or workable amounts of effervescent agents by routine experimentation in order to achieve the aforementioned desired effects is obvious absent showing criticality of the claimed amount. The Examiner concludes, therefore, that one having ordinary skill in the art at the time the invention was made would have been "motivated to employ effervescent agents of Robinson et al. in the amount greater than the amount necessary for disintegration of the tablet to insure "substantially complete" disintegration of the tablet and, as the result, a "positive organoleptic sensation to a patient." This rejection is respectfully traversed.

To begin with, in order to fully understand how the invention is distinguished from Robinson, is necessary to point out the nature of the advance claimed Reference to the claims (as well specification) of Robinson shows that the invention is directed to an effervescent granule comprising a mixture of an acidic agent, an alkaline agent and a hot-melt extrudable binder in combination with an active agent. In particular, the advance disclosed in Robinson relates to the use of a suitable binder to permit hot-melt extrusion and formation of an effervescent The compositional features to which the Examiner refers are related to properties described in Robinson relating to disintegration, dissolution and the organoleptic sensation in the mouth. In particular, the discussion Robinson beginning at column 4, line 53, and continuing through line 4, cited by the Examiner, is directed improving the dissolution of an active ingredient. Nowhere in

Application No.: 09/661,693

the cited portion, or elsewhere in *Robinson*, is there any disclosure, recognition or understanding of adjusting the amount of effervescent couple or the pH in order to improve penetration of an active pharmaceutical agent across the oral mucosa, as in the present invention. This distinction is significant, as shown by the further disclosure in *Robinson* beginning at column 8, line 11, and continuing through line 13:

"Upon disintegration of the tablet, the therapeutic compound, which itself can be particulate, is released and can be swallowed as a slurry or suspension."

In other words, the objective of *Robinson* is to produce, e.g., a tablet, such that when placed in the mouth, it substantially completely disintegrates, and the pH of the local environment of the active ingredient will be such that it will dissolve in connection with being swallowed by the patient. Importantly, there is no suggestion or teaching in *Robinson* that the active ingredient is intended to be transported across the oral mucosa during the time that the tablet is present in the mouth. In fact, the only reference in *Robinson* to the "therapeutic compound" refers to it as being "swallowed."

In contrast, the references in *Robinson* to the conditions in the mouth of the patient relate solely to the organoleptic effect produced by the use of the effervescent agent. In particular, at column 7, beginning at line 63, *Robinson* teaches that the patient should be able to perceive a distinct sensation of "fizzing" or bubbling as the tablet disintegrates in the mouth. It is with regard to this sensation that the amount of effervescent couple is described by *Robinson*. As is well-known in the art, the term "organoleptic" refers to "stimulating any of the organs of sensation or susceptible to a sensory stimulus." (The Examiner's attention is invited to a

copy of the relevant page of "Stedman's Medical Dictionary" attached to this response.)

The extrapolation by the Examiner of the discussions in Robinson relating to effervescence, disintegration and a positive organoleptic sensation in order to reach Applicants' The motivation claims is nowhere to be found in the reference. to modify the amount of effervescent couple in combination with the pH adjusting substance as in the present claims in order to achieve an improved transport of the active ingredient across the oral mucosa is totally absent in Robinson. In particular, there is nothing in Robinson to suggest that the amount of required for substantially complete effervescent couple the tablet and a positive organoleptic disintegration of sensation to a patient is anything more than that required to just cause the tablet to disintegrate. Clearly, an effervescent couple, when activated in the mouth, will cause "fizzing" and thereby be perceived by the patient.

It is only the present invention that teaches that the amount of effervescent couple should be greater than that required for disintegration in order to achieve an improvement in transport of the active ingredient across the oral mucosa. It is only as a consequence of Applicants' teaching regarding this effect that the Examiner has extrapolated the teachings of Robinson in order to suggest that it is desirable to increase the amount of the effervescent couple and the pH of composition in order to achieve the effect taught by Applicants; Robinson contains no such teaching or suggestion. It is clearly inappropriate for the Examiner to utilize Applicants' teaching in order to provide the motivation for modifying the limited disclosure of Robinson.

summary, the Examiner has taken a reference, Robinson, directed to an invention for producing an effervescent granule, suitable for use with numerous "active ingredients"

(see, e.g., column 2, lines 63-67) including herbicidal, industrial, agricultural, pesticidal, etc., as well pharmaceutical applications, but not directed to improving the delivery of a medicament, and particularly not across the oral mucosa. Consequently, it is not surprising that Robinson fails to teach the critical limitations of the present invention with regard to improving the transport of an active pharmaceutical ingredient across the oral mucosa by appropriate control of the amount of effervescent couple and the pH achieved by the composition. The most that Robinson teaches that is that their new effervescent granule is capable of achieving substantially complete disintegration of the tablet in which it is included as well as a pleasing, organoleptic sensation due to the "fizzing" of the effervescent couple. There can be no motivation Robinson to modify the amounts of effervescent couple and pH adjusting substance in order to achieve an improvement transport across the oral mucosa because Robinson itself is not directed to the transport of a pharmaceutical ingredient in the oral cavity. As stated above, its only reference to the consequence of a disintegrated tablet containing a therapeutic compound is that it can be "swallowed as a slurry suspension." (column 8, line 13) Withdrawal of this rejection is respectfully requested.

Claim 95 is rejected under 35 U.S.C. § 103(a) as being unpatentable over Robinson as applied to claim 22 and further in view of Norling et al., U.S. 5,958,458 (hereinafter "Norling").

The Examiner applies Robinson as explained above but notes that while broadly teaching analgesics, Robinson does not explicitly teach fentanyl of claim 95. The Examiner then states that Norling teaches that "fentanyl, among other analgesics, can be used in effervescent tablets including those of oral and buccal administration." Referring in Norling specifically to column 6, lines 23-24; column 12, lines 11-18; column 13, lines

30-31; and column 36, Example 13. The Examiner concludes that therefore it would have been obvious to one having ordinary skill in the art to modify the effervescent formulations of Robinson such that to employ fentanyl. Finally, it is stated that one having ordinary skill in the art would have been motivated to do this to obtain effervescent analgesic formulations as suggested by Norling. The Examiner concludes therefore that the invention would have been prima facie obvious to one of ordinary skill in the art at the time the invention This rejection is respectfully traversed. was made.

Robinson comments above with regard to reiterated herein for the purposes of the rejection of claim 95. However, the Examiner's cursory treatment of Norling requires additional comment and analysis since the reference does not teach what the Examiner purports in the brief comments in paragraph 9 of the Office Action as noted above.

As a brief summary comment, and also as a prelude to a detailed analysis of Norling, it is noted that the claims and the teachings of the present application have been used as a quide in order to identify a reference such as Norling that includes, among much else, a reference to an effervescent material and a passing reference to the active ingredient In fact, it will be seen that Norling is a totally inappropriate reference with regard to the present invention.

briefly review, Norlina is pharmaceutical multiple unit formulations in the form of small particle-size cores, particularly coated cores. Since the invention of the reference is applicable to various active drugs combination with excipients, the document broadly and in generically discloses various active drugs and excipients in compendium form. As stated in Norling, the objective was to develop a drug delivery system independent of solid or liquid being especially distinct dosage form (the latter

Applicants' solid dosage form) and formulation to permit "drug delivery systems without regard to the administration route and/or the physical state of the drug delivery systems." (column 1, lines 32-34) In contrast, Applicants' administration is particularly important oral mucosa, specifically recited in the claims. This distinction emphasizes that, although the reference is directed to drug delivery forms, it should be viewed as directed to different technology, not However, there is more that relevant to the present claims. expressly distinguishes the reference, and Example 13 particular, from the present invention.

Examiner relies Other than Example 13, the column 6, lines 23-24. This portion of Norling does, indeed, recite that fentanyl is one of the active substances which can be used according to the disclosed invention. (It is also observed that the list of suitable active substances begins at column 6, line 23 and continues through column 8, line 13.) Consequently, the reference to fentanyl should be appreciated for what it is, a mere passing reference to one among a myriad of potentially active substances.

The next reference in Norling cited by the Examiner appears at column 12, lines 11-18. A quotation of the complete disclosure at this place is useful to illustrate that it adds little, if anything, to the argument presented by the Examiner:

> "A pharmaceutical formulations according to invention may be adapted to the buccal, administration via the oral. mucosal, nasal, rectal, vaginal, or topical route or to wounds. In other aspects, the present invention relates to solid dosage forms or liquid compositions comprising a pharmaceutical particulate formulation according to the invention. Such dosage

forms or other suitable compositions (e.g., tablets, capsules, mixtures, sprays, etc.) according to the invention may be formulated according to conventional pharmaceutical "Remington's practice, see, e.g., Pharmaceutical Sciences" and "Encyclopedia Pharmaceutical Technology", edited by Swarbrick, J. & J. C. Boylan, Marcel Dekker, Inc., New York, 1988."

It can be seen that this disclosure is nothing more than a generic disclosure of all routes of administration for pharmaceutically active ingredients in various various compositions. It does nothing to direct one to the specifically claimed subject matter and advance of the present invention.

The third specific reference in Norling relied on by the Examiner appears at column 13, lines 30-31. A complete quotation of the sentence that includes the two individual lines selected by the Examiner is as follows:

> "Formulations for oral use include solid dosage forms such as, e.g., powders, granules, sachets, tablets, capsules, effervescent tablets, chewable tablets, lozenges, immediate release tablets, modified release tablets as well as fluid or liquid formulations such as, e.g., powders, dispersible powders or granules suitable for preparation of an aqueous suspension addition of an aqueous medium, emulsions, dispersions and mixtures."

Again, it can be seen that the portion relied on by the Examiner is merely a generic dissertation of the various of dosage forms suitable for use in pharmaceutical applications and does not teach or suggest specifically the use

of an effervescent tablet designed to achieve specific results such as in the present application. Clearly, Applicants do not assert that they are the first to have ever used effervescent compositions in a pharmaceutical application.

Finally, the Examiner relies on Example 13 as support for the obviousness rejection by combining this example of Norling with Robinson. However, it is necessary to closely examine Example 13 in order to understand its disclosure and its significance (more accurately, its insignificance) with regard to the present invention.

Example 13 is entitled "Preparation of Effervescent Tablets." However, the effervescent tablets of this example are not relevant or suitable for use in the present invention. Example 13 reports that tablets were prepared using "pellets from Example 6 coated with 50% w/w ethylcellulose." Referring back to Example 6, it is learned that individual pellets were prepared as described in Example 3, and in Example 6, the pellets were "coated with Surelease®" (which is a 25% w/w dispersion of ethylcellulose in water, cf. information under the heading "Materials")." The pellets of Example 3 included an inert carrier, a binder and theophylline as the ingredient. For reference purposes, it is noted that "Surelease is a complete, optimally plasticized aqueous dispersion designed modified release and taste-masking specifically for Using ethylcellulose as the rate controlling applications. polymer, Surelease brings technological advances dependable, reproducible extended release profiles..." (The Examiner's attention is invited to the literature of the manufacturer of the Surelease product, Colorcon, enclosed with this response.) (Emphasis added)

Clearly, the coating is used to form a pellet and to modify and extend the release profile of the active drug. The use of a coating to extend the release of a drug is inconsistent

contrary to the present invention, wherein improved transport of the drug across the oral mucosa is the effect Evidence for this distinction is provided in Norling claimed. As stated therein, "the dissolution of the tablets prepared was tested and compared with the dissolution of the pellets employed (denoted BDF 9)." (column 36, lines 53-56) Referring to the results reported in Table 11, column 36, relating to Example 13, the dissolution time of the pellets, i.e., the structure containing the active drug, shows that at 15 minutes only 4.2% was dissolved. In contrast, the inventors Norling report that "the tablets disintegrated within 2 minutes." This suggests that the disintegrated tablet would be swallowed and the pellets containing the drug thereafter released in the stomach and/or digestive tract. Nothing further explains the discrepancy between the dissolution times for the tablets and the pellets as due to "partial rupture of the coated during compression." (column 37, lines 14-16) is noted that the dissolution test method Furthermore, it pH 7.5 phosphate buffered (aqueous) employs 900 ml of a solution, i.e., a very dilute system containing a significantly greater volume of water or saliva than would be found in the mouth, and conducive to dissolution of the material under test In contrast, the conditions in the mouth, the in the reference. environment of the present invention, involve very small amounts of water present in saliva where transport across the oral mucosa is difficult, but where the objective is to increase the rate and extent of such transfer. The data reported Example 13 of Norling demonstrate that the preparation and use of that extended release formulation resulted in, at best, dissolution in greater than 15 minutes and, more likely, in greater than 2 hours (as further indicated by the data).

Moreover, the results of Norling are inconsistent with the presently claimed compositions and methods that are directed

enhancing the rate and/or extent of absorption of medicament across the oral mucosa. Obviously, a patient is not expected to keep an effervescent tablet in their mouth for 15 minutes, and certainly not for 2 hours, when only 21.61% of the coated pellets are reported to have dissolved (but are not necessarily carried across the oral mucosa), even under the artificially advantageous conditions of the reference example.

Contrary to the Examiner's suggestion, it would not have been obvious to one having ordinary skill in the art to modify the effervescent formulations of Robinson so as to employ fentanyl on the basis of the teachings provided by Norling. fact, relying on the teachings of Norling, one would have been motivated not to employ fentanyl in the effervescent composition of Robinson to arrive at Applicants' claimed compositions. However, recognizing that Norling discloses the use of coated cores containing an active pharmaceutical ingredient, perhaps including fentanyl, the combination of Norling with Robinson would result in a composition in which the effervescent granule facilitates the disintegration of the tablet and allows the coated core, remaining substantially undissolved, swallowed by the patient as described in Robinson. regard, Robinson and Norling are consistent with one another and contrary to the claims of the present invention. Withdrawal of the rejection of claim 95 is respectfully requested.

In conclusion, it is respectfully suggested that the Examiner has gathered together disparate, incidental disclosures of elements of Applicants' claims, using those claims as a guide, but without appropriate regard to the limitations of the claims or the objectives of the invention. In so doing, and after careful analysis of the references, it is clear that the references relied on by the Examiner are insufficient under the standards of 35 U.S.C. § 103(a), whether such references are

Application No.: 09/661,693

applied individually, as in the case of *Robinson*, or in view of one another, as with regard to claim 95.

As it is believed that all of the rejections set forth in the Official Action have been fully met, favorable reconsideration and allowance are earnestly solicited.

If, however, for any reason the Examiner does not believe that such action can be taken at this time, it is respectfully requested that she telephone Applicants' attorney at (908) 654-5000 in order to overcome any additional objections which she might have.

If there are any additional charges in connection with this requested amendment, the Examiner is authorized to charge Deposit Account No. 12-1095 therefor.

Dated: January 30, 2004

Respectfully symmitted,

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LD-447\

functional structure or o. in the lower animals.

. of visi n, organum visus.

vomeronasale, organum vomeronasale,

wandering o., floating or ptotic o.; an o. with loose attachments, permitting its displacement.

Weber's , utriculus prostaticus.

.'s f Zuckerkandl, corpora paraaortica.

organa (ōr'gă-nă). Plural of organum.

organelle (or'gă-nel) [Mod. L. dim. of G. organon, organ]. Cell o.; organoid (3); one of the specialized parts of a protozoan or tissue cell; these subcellular units include mitochondria, the Golgi apparatus, nucleus and centrioles, granular and agranular endoplasmic reticulum, vacuoles, microsomes, lysosomes, plasma membrane, and certain fibrils, as well as plastids of plant cells. cell o., organelle.

paired o.'s, rhoptries.

organic (ōr-gan'ik) [G. organikos] 1. Relating to an organ.
2. Relating to or formed by an organism.
3. Organized; structural.
4. See organic compound.

rganicism (ōr-gan'i-sizm). A theory which attributes all diseases, in particular, all mental disorders, to organic lesions.

rganicist (ōr-gan'i-sist). One who believes in, or subscribes to the views of, organicism.

organism (ōr'gă-nizm). Any living individual, whether plant or animal, considered as a whole.

calculated mean o. (CMO), a hypothetical o. whose characters are the means of both the positive and negative characters of the o.'s which belong to the same taxon as the CMO, as opposed to the hypothetical mean o.

fastidious o., a bacterial organism having complex nutritional requirements.

hypothetical mean o. (HMO), a hypothetical o. whose characters are the means of the positive characters of the organisms which belong to the same taxon as the HMO, as opposed to the calculated mean o.

pleuropneumonia-like o.'s (PPLO), the original name given to a group of bacteria which did not possess cell walls; these o.'s, isolated from man and other animals, soil, and sewage, are now assigned to the order Mycoplasmatales.

organization (ōr'gan-i-zā'shŭn). 1. An arrangement of distinct but mutually dependent parts. 2. The conversion of coagulated blood, exudate, or dead tissue into fibrous tissue.

pregenital o., in psychoanalysis, the o. or arrangement of the libido in the stages prior to that of genital primacy.

organize (or'gan-iz). To provide with, or to assume, a structure.

organizer (ōr'gan-ī-zer). H. Spemann's term originally applied to a group of cells on the dorsal lip of the blastopore inducing differentiation of cells in the embryo, and controlling growth and development of adjacent parts; now generally applied to any group of cells having such a controlling influence, the effects being brought about through the action of an evocator.

nucleolar o., nucleolar zone; the region of the satellites on the acrocentric chromosomes that is active in nucleolus formation.

primary o., the o. situated on the dorsal lip of the blastopore.

procentriole o., deuterosome.

organo- [G. organon, organ]. Combining form denoting organ or organic.

organoferric (ōr'gă-nō-fār'ik). Relating to an organic compound containing iron.

organogel (ōr-gan'ō-jel). A hydrogel with an organic liquid instead of water as the dispersion means.

organogenesis (ōr'gă-nō-jen'ĕ-sis) [organo- + G. genesis, origin]. Organogeny; formation of organs during development.

organ genetic, organogenic (ōr'gă-nō-jĕ-net'ik, -jen'ik). Relating

to organogenesis.

organogeny (ōr-gan-oj'ĕ-nē). Organogenesis.

organography (ōr'gă-nog'ră-fē) [organo- + G. graphē, a writing]. A treatise on, or description of, the organs of the body.

organoid (ōr'gă-noyd) [organo- + G. eidos, resemblance].

1. Resembling in superficial appearance or in structure any of the organs or glands of the body. 2. Composed of glandular or organic elements, and not of a single tissue; pertaining to certain neoplasms (e.g., an adenoma) that contain cytologic and histologic elements arranged in a pattern that closely resembles or is virtually identical to a normal organ. See also histoid. 3. Organelle.

organoleptic (ōr'gă-nō-lep'tik) [organo- + G. lēptikos, disposed to accept]. 1. Stimulating any of the organs of sensation. 2. Susceptible to a sensory stimulus.

organology (ōr-gă-nol'ō-jē) [organo- + G. logos, study]. Branch of science concerned with the anatomy, physiology, development, and functions of the various organs.

organoma (ōr-gă-nō'mă) [organo- + G. -oma, tumor]. A neoplasm that contains cytologic and histologic elements in such an arrangement that specific types of tissue, e.g., thyroid glands, intestinal mucosa, ovarian stroma and follicles, may be identified in various parts. See also teratoma.

organomegaly (ōr'gă-nō-meg'ă-lē). Visceromegaly.

organomercurial (ōr-gan'ō-mer-kyū'rē-ăl). Any organic mercurial compound; e.g., merbromin, thimerosal.

organometallic (ōr'gă-nō-me-tal'ik). Denoting an organic compound containing one or more metallic atoms in its structure.

organon, pl. organa (ōr'gă-non, ōr'gă-nă) [G. organ]. Organum.

organonomy (ōr-gă-non'ō-mē) [organo- + G. nomos, law]. The body of laws regulating the life processes of organized beings.

organonymy (ōr'gă-non'i-mē) [organo- + G. onyma, name]. The nomenclature of the organs of the body, as distinguished from toponymy.

organopathy (ōr-gă-nop'ă-thē) [organo- + G. pathos, suffering]. Any disease especially affecting one of the organs of the body.

organopexy, organopexia (ōr'gă-nō-pek-sē, -pek'sē-ă) [organo- + G. pēxis, fixation]. Fixation by suture or otherwise of a floating or ptotic organ.

organophilic (ōr'gă-nō-fil'ik). Pertaining to organophilicity.

organophilicity (ōr'gă-nō-fi-li'si-tē). Attraction of nonpolar substances (organic molecules) to each other.

organosol (ōr-gan'ō-sol). A hydrosol with an organic liquid instead of water as the dispersion means.

organotaxis (ōr'gă-nō-tak'sis) [organo- + G. taxis, orderly arrangement]. The tendency to migrate to a certain organ selectively.

organotherapy (ōr'gă-nō-thār'ă-pē). Treatment of disease by preparations made from animal organs; now frequently by synthetic preparations instead of extracts of a gland.

organotrophic (ōr'gă-nō-trof'ik) [organo- + G. trophē, nourishment]. Pertaining to the nourishment of an organ.

organotropic (ōr'gă-nō-trop'ik). Pertaining to or characterized by organotropism.

organotropism (ōr-gă-not'rō-pizm) [organo- + G. tropē, a turning]. Organotropy; the special affinity of particular drugs, pathogens, or metastatic tumors for particular organs or their component parts. Cf. parasitotropism.

organotropy (ōr-gă-not'rō-pē). Organotropism.

organ-specific. Denoting or pertaining to a serum produced by the injection of the cells f a certain organ or tissue that, when injected into another animal, destroys the cells of the corresponding organ.

organum, pl. rgana (ōr'gă-nŭm, ōr'gă-nă) [L. tool, instrument].

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SURELEASE*

Surelease is a complete, optimally plasticized aqueous dispersion designed specifically for modified release and taste masking applications. Using ethylcellulose as the rate controlling polymer, Surelease brings technological advances with dependable, reproducible extended release profiles that are consistent from laboratory to pilot and production scale processes.

□ Key Characteristics

- Aqueous dispersion
- Complete, optimally formulated system
- Easy to use and environmentally friendly
- Consistent and reproducible drug release profiles
- Regulatory acceptance in the United States, Europe and certain other regions.

Applications

Bead & Particle Coating:

Fluid-bed coating is the usual technique used for coating of small particles.

• Matrix Granulation:

Wet granulation binder for production of free flowing powder for compression into modified release tablets.

• Taste Mask Coating:

Water insoluble coating providing highly effective taste mask.

☐ General Manufacturing Process Description

• Ethylcellulose is blended with Oleic Acid and Dibutly Sebecate, then extruded and melted. The molten plasticized ethylcellulose is then directly emulsified in ammoniated water in a high shear mixing device under pressure. Ammonium Oleate is formed in situ to stabilize and form the dispersion of plasticized ethylcellulose particles. Additional Purified Water is then added to achieve the final solids content. Colloidal Anhydrous Silica is then dispersed into the material to form the final product. (Reference: U.S Patents 4,123,403 and 4,502,888).

□ Stability

 Surelease provides dependable modified release dissolution performance throughout its shelf life period.

 Some degradation of the Dibutyl Sebacate and Oleic Acid occurs during the manufacturing process. The extent of this degradation and identification/qualification of the specific degradants is currently under investigation.

□ Packaging

 Surelease is supplied as a 25% w/w dispersion in tight-head polyethylene containers. Surelease is available in multiples of 10.0 kg weights.

□ Shelf Life

• Surelease has a shelf life of 18 months from date of manufacture when properly stored.

□ Recommended Storage Conditions

• Store in tightly sealed containers. Avoid exposure to high humidity and temperatures above 30° C (86° F).

Keep from freezing

	Specifications				
	Description:	Off-white turbid liquid that			
	-	dries to a clear film			
	Identification:	Conforms			
ĺ	Solids Content:	24.0 - 26.0%			
l	Residue on Content:	1.6 - 1.95%			
l	pH:	9.5 - 11.5			
	Quality Control				
	Test Procedures	•			
	Residue on Ignition:	USP<733> & Colorcon			
	pH:	USP<791>			
	Solids/Loss on Drying:	USP <731> & Colorcon			
	I.R. Identification:	USP <197K> & Colorcon			
a	Performance Testing	Each batch of Surelease is			
		coated onto a drug substrate			
		and tested for release rate to			
		insure consistent, reliable			
		performance			
	Component and	Methods are under development			
	Impurity Profile Testing	to identify and monitor the			
		quantitative levels of key			
		components and impurities.			
l		(See Stability Section)			
	U.S Drug Master File				
	Reference	#9822 (11/5/92)			
<u> </u>	Regulatory Status of	•			
	Raw Materials				
<u>Ingredient</u>		Compendial Reference			
Purified Water:		USP, PhEur, JP			
Ethylcellulose 20cP:		NF, PhEur, JPE, FCC, 21CFR			
		73.1, 73.1001, 172.868			
Ammonium Hydroxide 28%:		NF, PhEur, FCC			
Dibutyl Sebacate:		NF			
	Oleic Acid*:	NF, 21 CFR 172.860, Food			
l		Grade			
Colloidal Anhydrous Silica:		21CFR 172.480			

^{*}Meets requirements of the EU-CPMP/BWP/1230/98



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Locations	Telephone	Facsimile	Locations	Telephone	Facsimile
United States			Asia/Pacific		
Santa Ana, California	714-549-0631	714-549-4921	Singapore	65-438-0318	65-438-0178
Indianapolis,Indiana	317-545-6211	317-545-6218	Fuji-gun, Shizuoka, Japan	81-5-4465-2711	81-5-4465-2730
Humacao, Puerto Rico	787-852-3815	787-852-0030	Shanghai, China	86-21-5442-2222	86-21-5442-2229
			Mumbai, India	91-22-868-2537	91-22-868-4518
Europe			Seoul, Korea	82-2-2057-2713	82-2-2057-2179
Dartford, Kent, England	44-1322-293000	44-1322-627200			
Bougival, France	33-1-3082-1582	33-1-3082-7879	Latin America		
Idstein, Germany	49-6126-9961-0	49-6126-9961-11	Buenos Aires, Argentina	54-11-4552-1565	54-11-4552-5158
Gallarate, Italy	39-0331-776932	39-0331-776831	Cotia, Brasil	55-11-4612-4262	55-11-4612-3307
Budapest, Hungary	36-1-200-8000	36-1-200-8010	Bogota, Columbia	571-418-1202	571-418-1257
Istanbul, Turkey	90-216-465-0360	90-216-465-0361	Caracas, Venezuela	58-212-442-4819	58-212-442-8724
Barcelona, Spain	34-9-3589-3756	34-9-3589-3792	Santa Fe, Mexico	525-292-1611	525-292-1750

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